

## Base Discretionary Penalties for Competitors

Penalties are divided into 4 bands with the mid point being the normal base penalty:

- (a) Band 1 – 0 - 10% (mid point 5%)
- (b) Band 2 – 10 - 30% (mid point 20%)
- (c) Band 3 – 30 - 70% (mid point 50%)
- (d) Band 4 – DSQ / DNE (starting point DSQ)

Generally the base penalty will be the mid-point of the band.

<b>Communication</b>	
<ul style="list-style-type: none"> <li>• NoR 2.1: Making or receiving radio or text or cell phone message not available to all boats</li> </ul>	3
<b>Safety</b>	
<ul style="list-style-type: none"> <li>• NoR 14: Boat not in assigned place but has notified the OA</li> </ul>	1
<ul style="list-style-type: none"> <li>• NoR 14: Boat not in assigned place failing to promptly notify the OA</li> </ul>	2
<ul style="list-style-type: none"> <li>• SIs 17.1: Failing to comply with requirements for reporting retirement</li> </ul>	1
<ul style="list-style-type: none"> <li>• SIs 17.1 :Failing to comply with requirements for reporting retirement results in initiation of search and rescue</li> </ul>	4
<b>Code of Conduct</b>	
<ul style="list-style-type: none"> <li>• NoR 19.1: Failing to comply with instructions of Harbour Master</li> </ul>	2-4
<b>Replacement of Crew or Equipment</b>	
<ul style="list-style-type: none"> <li>• SIs 18: Failing to comply with instructions / no authorization by RC – good reason or justification</li> </ul>	1
<ul style="list-style-type: none"> <li>• SIs 18: Failing to comply with instructions / no authorization by RC – no good reason or justification</li> </ul>	3
<ul style="list-style-type: none"> <li>• SIs 18: Replacing crew or equipment with non-compliant crew or equipment</li> </ul>	4
<b>Equipment Inspection</b>	
<ul style="list-style-type: none"> <li>• SIs 19: Failing to comply with instructions – good reason or justification</li> </ul>	1
<ul style="list-style-type: none"> <li>• SIs 19: Failing to comply with instructions – no good reason or justification</li> </ul>	3
<b>Avoiding prohibited areas</b>	
<ul style="list-style-type: none"> <li>• SIs 9.7: Not avoiding zone designated as an obstruction – no advantage gained</li> </ul>	1
<ul style="list-style-type: none"> <li>• SIs 9.7: Not avoiding zone designated as an obstruction – possible advantage gained</li> </ul>	2-3
<ul style="list-style-type: none"> <li>• SIs 9.7: Not avoiding zone designated as an obstruction – certainly gained advantage</li> </ul>	4
<ul style="list-style-type: none"> <li>• SIs 11.5: Failing to avoid the starting area not interfering with a racing boat</li> </ul>	1
<ul style="list-style-type: none"> <li>• SIs 11.5: Failing to avoid the starting area and breaking RRS 23.1.</li> </ul>	4
<b>Trash Disposal</b>	
<ul style="list-style-type: none"> <li>• SIs 22: Intentional trash disposal</li> </ul>	1-4

## Base Discretionary Penalties for Support Persons & Boats

### Discretionary Penalties to a Support Persons

Penalties are divided into 5 levels as follows:

Level 1: Warning

Level 2: Exclude the person from going afloat for one race or more

Level 3: Exclude the person from going afloat for one day or more

Level 4: Exclude the person from the venue for one day or more

Level 5: Exclude the person from the venue for the rest of the event and/or take other action within the protest committee's jurisdiction as provided by the rules, including charging the support person with misconduct under RRS 69.

<b>Support boats</b>	
<ul style="list-style-type: none"> <li>NoR 13.1, SIs 21: Support boat not registered at the Race Office or support boat not showing identification (flag)</li> </ul>	2-4
<ul style="list-style-type: none"> <li>NoR 13.3: Use of VHF radio not fulfilling legal requirements</li> </ul>	2-5

### Discretionary Penalties to a Boat

The protest committee may also penalize a boat that is a party to a hearing under RRS 60.3(d) or 69 for the breach of a rule by a support person by changing the boat's score in a single race, up to and including DSQ. In determining the penalty, the protest committee will be guided by this document.

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- (a) Band 1 – 0 - 10% (mid-point 5%)
- (b) Band 2 – 10 - 30% (mid-point 20%)
- (c) Band 3 – 30 - 70% (mid-point 50%)
- (d) Band 4 – DSQ

<b>Could the boat have obtained a competitive advantage?</b>	
No advantage is possible	1
Possibly advantage	2 - 3
Yes, certain advantage	4
<b>The support person committed a further breach after the protest committee warned the boat in writing, following a previous hearing, that a penalty may be imposed. Could the breach result in damage or injury?</b>	
No	1
Possibly but not certainly	2 - 3
Yes	4
<b>Could the breach compromise safety?</b>	
No	1
Possibly but not certainly	2 - 3
Yes	4
<b>Could the breach bring the sport into disrepute?</b>	
No	1
Possibly but not certainly	2 - 3
Yes	4